

To Emperor Futures Limited,

Overseas Futures/Commodities (Company account)

We hereby confirm that:-

1. our company and the department (including the principal, branch, agency or office) in charge of the transactions carried out under our account(s) maintained with Emperor Futures Limited (“Transactions”) are NOT located in *Japan and/or the United Kingdom (“UK”) and/or the United States of America (“USA”) (as the case may be);
2. notwithstanding the main office is located outside or inside *Japan and/or the UK and/or the USA (as the case may be), NONE of the departments of our company located in *Japan and/or the UK and/or the USA (if any) is involved in the Transactions, and;
3. we shall inform you immediately if there is any change in the status under (1) and (2) above.

Signature: _____ Company Name: _____
(with company chop)

Date: _____

* Please circle the appropriate ones.

致英皇期貨有限公司:

海外商品期貨(公司戶口)

我們確認:

1. 本公司及公司負責於英皇期貨有限公司戶口之交易的部門（包括總公司、分行、代理商及辦公室）不是位於*日本及/或英國及/或美國（視乎實際個案而定）；
2. 無論公司的主要辦事處在*日本及/或英國及/或美國與否（視乎實際個案而定），所有在*日本及/或英國及/或美國的本公司部門（如有）都沒有在英皇期貨有限公司之戶口交易；及
3. 如上述（1）及（2）項的狀況有變，我們會馬上通知 貴公司。

簽署： _____ 公司名稱： _____
(包括公司印章)

日期： _____

* 請圈出所適用的

To Emperor Futures Limited,

Overseas commodities/Futures (Individual account)

I hereby confirm that:-

1. I do NOT have domicile (which means my main country of living) in *Japan and/or the United Kingdom (“UK”) and/or the United States of America (“USA”);
2. I have NOT been residing in *Japan and/or the UK and/or the USA;
3. I am NOT a *Japanese and/or UK and/or USA citizen;
4. I shall immediately inform you if there is any change in the status under (1) to (3) above.

Signature: _____

Date: _____

* Please circle the appropriate ones.

致英皇期貨有限公司:

海外商品期貨(個人戶口)

本人確認:

1. 本人的主要住處不在*日本及/或英國及/或美國；
2. 本人未曾在*日本及/或英國及/或美國居住；
3. 本人不是*日本及/或英國及/或美國公民；
4. 如上述（1）及（3）項的狀況有變，我們會馬上通知 貴公司。

簽署： _____

日期： _____

* 請圈出所適用的